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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Karsten Schulz, et al.

Art Unit : 3623

Respectfully submitted,

41,366

Serial No.: 10/628,564

Examiner: Unknown

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: July 29, 2003

Title

: TRANSFORMATIONS BETWEEN PRIVATE AND SHARED WORKFLOWS

## MAIL STOP AMENDMENT

Commissioner for Patents

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## INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

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Substitute from PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13909-026002

Application No. 10/628,564

Information Disclosure Statement by Applicant

by Applicant Karsten Schulz, et al.

Publication

Date

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Group Art Unit 3623

Subclass

Class

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Examiner

Initial

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ID

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U.S. Patent Documents

Filing Date

Translation

No

Yes

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
1	Foreign	n Patent Doc	uments or Pu	ıblished Foreian	Patent A	Application	ns l

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	
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	AA	Aissi, S. et al, "E-Business Process Modeling: The Next Big Step," IEEE Computer Journal, Vol. 35, No. 5, May 2002, pp. 55-62.
	AB	Arkin, A., "Business Process Modeling Language (BPML) 1.0 Last Working Draft," BPMLorg Technical Report, 2002, 98 pgs.
	AC	Arkin, A. et al, "Web Service Choreography Interface (WSCI) 1.0 Specification," <a href="http://wwws.sun.com/software/xml/developers/wsci/">http://wwws.sun.com/software/xml/developers/wsci/</a> , Sun Microsystems Technical Report, 2002.
	AD	Arnold, D. et al, "Discourse with Disposable Computers: How and Why You Will Talk to Your Tomatoes," Usenix Workshop on Embedded Systems, Cambridge, Massachusetts, 1999, 15 pgs.
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	AF	Atkinson, B. et al, "Web Services Security (WS-Security) 1.0," Technical Report, IBM, Verisign, Microsoft, 2002.
	AG	Becker, J. et al, "Towards a Classification Framework for Application Granularity in Workflow Management Systems," Proceedings of the Eleventh International Conference on Advanced Information Systems Engineering, Heidelberg, Germany, 1999, pp. 411-416.
	AH	BPMI.org, BPML XML Schema, <a href="http://www.bpmi.org/2001/3/BPML.xsd">http://www.bpmi.org/2001/3/BPML.xsd</a> , Technical Report, 2001.
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	AP	Klingemann, J. et al, "Adaptive Outsourcing in Cross-Organizational Workflows," Proceedings of the Eleventh International Conference on Advanced Information Systems Engineering, Heidelberg, Germany, 1999, pp. 417-421.

Examiner Signature	Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13909-026002	Application No. 10/628,564
Information Disclosure Statement by Applicant (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Karsten Schulz, et al.	
		Filing Date July 29, 2003	Group Art Unit 3623

		ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
	AQ	Klingemann, J. et al, "Deriving Service Models in Cross-Organizational Workflows," Proceedings of the Ninth International Workshop on Research Issues in Data Engineering – IT for Virtual Enterprises, 1999, pp. 100-107.
	AR	Leymann, F. et al, "Web Services Flow Language (WSFL) 1.0," IBM Technical Report, 2001, 107 pgs.
	AS	Object Management Group, "CORBA/SOAP Interworking – Request for Proposal," Technical Report orbos/00-09-07, 2000, 23 pgs.
	AT	Object Management Group, "Final Report of the Workflow Management Facility Revision Task Force 1.2," Technical Report dtc/99-07-04, 1999, 58 pgs.
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	AFF	Workflow Management Coalition, "Interface 1: Process Definition Interchange Process Model Specification, Version 1.1," Technical Report WFMC-TC-1016, 1999, 103 pgs.
	AGG	Workflow Management Coalition, "Successful Demonstration of Workflow Interoperability a Major Milestone," http://www.aiim.org/wfmc/pr/prl1996-07-09.htm, 1996.
	АНН	Workflow Management Coalition, "The Workflow Reference Model," Technical Report WFMC-TC-1003, 1995, 55 pgs.
	AII	Workflow Management Coalition, "Workflow Standard – Interoperability – Abstract Specification, Version 1.0," Technical Report WFMC-TC-1012, 1996, 68 pgs.
	AJJ	Workflow Management Coalition, "Workflow Standard – Interoperability – WFXML Binding Version 1.1," Technical Report WFMC-TC-1023, 2001, 57 pgs.

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant.	t in conformance and not considered. Include copy of this form with